



## IMO BC G-e Inspection Report Artesmar® Traceability and Chain of Custody (CoC)

*Inspection of basic compliance according to the  
Artesmar® Criteria for Traceability and Chain of Custody (CoC) Version 01.04.2013*

<b>Project :</b>	Meliomar		
<b>Report N°:</b>	14-6705-01		
<b>Revised by inspector (Date):</b>			
<b>Evaluated (Date):</b>	14.10.2014	by (name):	Selma Wurst

<b>Instructions for the inspector regarding the use of the report format</b> <i>This inspection report details your evaluation of the operation in respect to the Cycle Shrimp standard.</i>		
<b>Level of compliance:</b>		
<b>OK</b>	completely fulfilled	<b>If AC or NF is ticked, comments</b> (description of non-conformities, evaluation) <b>are needed</b> in practically all cases to assess the non-conformity. Such comments (including the number of the compliance criteria concerned) can be written just after the respective block of compliance criteria → grey fields/boxes may never be ticked (N/A not possible as answer)
<b>AC</b>	acceptable with conditions / in principle fulfilled but minor shortcomings → comments and conditions <b>compulsory</b>	
<b>NF</b>	not fulfilled → comments and conditions <b>compulsory</b>	
<b>N/A</b>	Not applicable	

### C.0 GENERAL INFORMATION

<b>Operation</b>			<b>Mandator (if different from farm)</b>		
Operation Name, Address, Country Meliomar INC. 20 Russell Ave., Brgy.076, Pasay City Phiippines			Mandators Name: Blueyou AG Zürich Zentralstrasse 156 8003 Zürich Switzerland		
Operation Manager:					
<b>INSPECTION DETAILS OPERATION</b>					
Inspection Date: 20.08.2014			<input checked="" type="checkbox"/>	First inspection	<input type="checkbox"/> Additional inspection
Inspector: Tran Xuan Sang			<input type="checkbox"/>	Full Update Inspection	<input type="checkbox"/> Announced
			<input type="checkbox"/>	Spot-check	<input type="checkbox"/> Unannounced
Schemes according to which certification is requested:			Language of inspection (if translation was used, give details) English		
<input checked="" type="checkbox"/>	Artesmar CoC	<input type="checkbox"/>			
<input type="checkbox"/>	Other (please specify)	<input type="checkbox"/>			
<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	Sample was taken (please give details)	

## INSPECTION DETAILS

Date & time	Activity/ inspected units
20.08.2014 (9:00 – 9:30)	Opening meeting
20.08.2014 (9:30 – 11:00)	Processing visit
20.08.2014 (11:00 – 15:00)	Documentation audit, traceability
20.08.2014 (15:30 – 17:00)	Audit preparation and closing meeting

## ACCESS TO INFORMATION

N°		OK	AC	NF	N/A
0.1	The <b>responsible manager</b> or Artesmar quality coordinator was present during the inspection	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
0.2	The operator had all required <b>documentation</b> available for inspection (incl. original book keeping)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
0.3	The inspector had <b>unrestricted access</b> to all premises and documentation.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



Remarks: Mr. Christian Schmidradner – General Manager and Member of the Board, Mr. Oscar Almaden – Chief Procurement officer were present during the audit.

## ACTIVITIES

	Y	N	
The operator handles the following qualities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Artesmar certified products
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Products certified under other schemes, which require separation (Naturland Wildfish, MSC fishery etc.)
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Conventional products
Storage activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Simple storage in the same location
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	External simple storage
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Storage in professional warehouse (storage services as main business for several clients)



Remarks: Meliomar only produces Artesmar certified products. Yellowfin Tuna (*Thunnus albacare*) is processed and Mahi Mahi (*Coryphaena spp.*) is planned to be processed in the future. The assortment list includes Loins Raw Material and H&G Raw material (Head and Gut) for both Yellowfin Tuna and Mahi Mahi.

## CHANGES SINCE LAST INSPECTION

N°		OK	AC	NF	N/A
0.4	The operator has notified IMO of <b>important changes</b> during the year				<input checked="" type="checkbox"/>
	- New contracted operators (warehouses etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- Change of address/moving premises	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- Changes in suppliers list	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: First inspection

## C.1 FORMAL MANAGEMENT SYSTEM (MS)

N°		OK	AC	NF	N/A
1.1	A <b>formal management system</b> is in place.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2	The <b>Quality Management System</b> is complete, implemented, and is adequate to effectively implement the CoC-standard.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.3	<b>Staff responsibilities</b> are defined and all staff are aware of their responsibilities.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 1.1 Meliomar has its business permit and license issued in 12<sup>th</sup> March 2014. Its scope of business is Exporter- Marine Product. It has just been operated in the mid of August 2014. Meliomar and Alptrade are sub-companies of Blueyou and use the same formal management system of Alptrade.

## C.2 RAW MATERIAL INPUTS

N°		OK	AC	NF	N/A
2.1	There is an up to date <b>list of Artesmar certified suppliers</b> available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2	There is a <b>system in place</b> which ensures that Artesmar approved seafood only originates from which are part of a defined and confirmed Artesmar Unit of Approval (UoA)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 2.1 Artesmar certified suppliers are:

1. Joey Piol
2. Nestor Benito
3. Jun Kallos
4. Marites Evangelista
5. Michael Gerance
6. Joel Bondoc
7. John Arcamo
8. Leoldo Diaz
9. Efren/Joy Piol

2.2 According to Meliomar procedure, all suppliers above are Artesmar certified. No conventional suppliers are selected.

## C.3 TRACEABILITY

N°		OK	AC	NF	N/A
3.1	The final product is <b>traceable back to the fishery</b> of origin.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.2	The relevant batch unit/lot number shall refer to the <b>fishery UoA</b> (Unit of Approval).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.3	All certified products are <b>labeled</b> with a <b>batch-identification code</b> with which products can be traced back to the specific fishery UoA.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.4	Any product or batch of products sold as certified can be tracked to a <b>sales invoice</b> issued by the operator by <b>proper label or batch-identification</b> used.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 3.1 The final product can be tracked back to the fishery of original by:

1. Reception batch number: from the "packing record" to "raw material reception form processing facility"  
Eg: M-AL-2014-326 includes:  
M: Manufacturing batch number  
AL: name of processing plant

2014: year of production

326: sequence of client ordered

2. Tuna ID No: from "raw material reception form processing facility" to Prescribed fish catch report". includes:  
Landing site + number of fish receiving  
Eg: NEO 0713

3.2 Batch unit/lot number refer to the supplier names that are certified.

3.3&3.4 Product can be traced to sale invoice. See the Product Flow Calculation.

## C.4 RECORD OF RAW MATERIAL RECEPTION

N°		OK	AC	NF	N.A
4.1	Checklists are used during the <b>reception of raw materials</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2	The checklists include the <b>suppliers'</b> identification and certification with regards to Artesmar UoA and evidence of the certificate's validity at the time of catch and raw material reception.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 4.1 Checklist is used during the reception of raw material:

1. Tuna ID No.  
Landing site + number of fish receiving  
Eg: NEO 0713
  2. Weight without gut
  3. Grade (appearance, smell)
  4. Temperature
  5. Histamine check if the temperature is above 5.0 according to the internal procedure
- 4.2 All raw material received by Meliomar is processed as Artesmar certified products. No conventional products in the processing plant.  
There are 10 landing sites to fish receiving.

## C.5 RECORD OF PROCESSED MATERIAL OUTPUT

N°		OK	AC	NF	N.A
5.1	<b>Records</b> about all <b>output</b> of processed Artesmar approved seafood available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.2	<b>Reference to raw material input</b> (final products and processing by-products) given.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: Records for output of processed Artesmar is kept since mid of August 2014. The quantity is 2120.29kg  
Raw material input is 4385.1kg since mid of August 2014

## C.6 SPECIES AND PRODUCT IDENTITY

N°		OK	AC	NF	N/A
6.1	Products are <b>identifiable as Artesmar certified</b> at any time during storage, processing, packing, labelling and handling.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.2	Products are clearly identifiable <b>by scientific species name</b> during all stages of production.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.3	There is a <b>complete assortment list</b> of Artesmar certified products	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 6.1 Product are identified as Artesmar certified as follows:

1. For Yellowfin tuna (*Thunnus albacares*)  
 YFT-NEO-000000xxx: this is also traceability code.  
 YFT is Yellowfine tuna  
 NEO is the code of landing site  
 0000xxx: number of fish receiving.
2. There is no traceability code set up for Mahi Mahi – (*Coryphaena spp.*)

6.2 Scientific name is used during all stages of production.

6.3 Assortment list of Artesmar certified products is completed for Yellowfin tuna and Mahi Mahi (Loins Raw Material and H&G Raw material (Head and Gut out)).

## C.7 SEPARATION OF APPROVED SEAFOOD

N°		OK	AC	NF	N/A
7.1	Effectively implemented <b>separation measures during processing and manufacturing</b> prevent commingling with or contamination by other non-approved seafood.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.2	<b>Separation during processing</b> is achieved by either (1) physical separation of certified and non-certified production lines or (2) temporal separation of certified and non-certified production runs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.3	<b>Storage areas</b> (requirements not applicable to operators who ONLY handle Artesmar certified products)				<input checked="" type="checkbox"/>
	- separate areas for final storage of the certified products	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	- separate areas for storage before/in between processing	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	



*Remarks:* Only Artesmar Yellowfin tuna approved products during the audit. However, Meliomar has plan to process approved Mahi Mahi in the future.  
 There is no internal procedure to separate different certified species in all stages of production (raw material reception, processing lines, storage).

## C.8 SEPARATION IN DOCUMENTATION

N°		OK	AC	NF	N.A
8.1	<b>Documentation</b> (invoices and other book-keeping documentation) discloses Artesmar approved and non-approved products separately.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* Only Artesmar approved products. Only Artesmar Yellowfin tuna approved products during the audit. However, Meliomar has plan to process Mahi Mahi in the future.  
 Documentation and record for Mahi Mahi products should be complied for the production in the future.

## C.9 RECALL SYSTEM

N°		OK	AC	NF	N/A
9.1	There is a system in place to allow the <b>recall</b> of products.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.2	A documented <b>mock recall test</b> is carried out annually.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 9.1 System in place to allow the recall products issued in May 15<sup>th</sup>, 2014 is available. However, it is described for Alpitrade, not Meliomar.  
 9.2 A documented mock recall test has not been done. It is expected to be done in Sep 2014

## C.10 KEEPING OF RECORDS

N°		OK	AC	NF	N.A
10.1	All documents are kept for at least <b>5 years</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: All documents are kept for at least 5 years according to „Data Record and Retention Program issued in May 15, 2014

## C.11 LABELING OF PRODUCTS

N°		OK	AC	NF	N.A
11.1	Artesmar logo is correctly used on <b>packaging material</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11.2	Artesmar logo is correctly used on <b>shipment/sales documentation</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 11.2. Artesmar logo is used on packaging material  
11.2 Artesmar logo is used on all documentation.

## PRODUCT FLOW CALCULATIONS

Copy table if doing several calculations

Product: <b>Yellowfin Tuna (<i>T. albacares</i>) – Fresh Headless</b>		Processing ratio (if applicable): <b>92.83%</b>	
Raw Material(s): <b>Yellowfin Tuna</b>		Calculation period: <b>3rd August 2014</b>	
	Calculation step	Document(s)	Result
1.	Production batch number, Tuna IDNo.	Prescribed fish catch report	202.3kg
2.	Production batch number, Tuna IDNo.	Material reception & Buying processing facilities	202.3kg
3.	Production batch number	Processing form G & G (Grilled and Gutting)	202.3kg G&G , 187.8kg net weight
4.	quantity, client name, Production batch number	Tuna packaging record	187.8 net weight
5.	Purchase order, quantity, client name	Packing list	242 kg – gross weight – 187.8kg net weight
6.	Quantity, client name,	Invoice	242 kg – gross weight - 187.8kg net weight
7.	Quantity, client name,	Shipping documentation	242 kg – gross weight - 187.8kg net weight
Summary Evaluation of product flow for this product: <b>OK - The product flow is easy to follow.</b>			

### Total goods turnover during the period under assessment

Product (certified/conventional)	Quantity purchased	Quantity processed	Quantity exported	Comments
Artesmar Yellowfin Tuna ( <i>T. albacares</i> )	202.3kg	187.8kg	187.8kg	OK



Remarks: The assessment is done with completed documentation to prove.

## INTEGRITY OF MANUFACTURING PROCESS

	OK	AC	NF	N/A
The <b>book keeping</b> allows a complete follow up of incoming as well as outgoing volumes of certified seafood	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For every product <b>all processing steps</b> are documented. A completed processing flow chart/description is available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For every product the <b>processing ratio</b> is known.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The <b>processing documentation</b> allows the product flow to be traced from incoming raw ingredients to final products (processing diary or similar).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A summary of all purchases and sales of certified products for the 12 months prior to the inspection is available.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>



Remarks: The book keeping allows to follow up incoming and outgoing volumes of certified product. The processing steps are documented (see Annexes). The processing ratio is counted by the raw material without gut (Meliomar buys the raw material without gut) and final product.  
See the Product Flow calculation above.  
The operation has just started in middle of August, so the summary of all purchases and sales of certificated products for 12 months is not applicable.

## SUMMARY OF INSPECTION FINDINGS

### Certification Table

Provider(s) of seafood	Quantity or processing ratio	Processed product, full specification (article N°)	Artesmar® quality
See supplier list	Around 50%	Loins Raw Material	Yes
	80 – 90%	H&G Raw material (Head and Gut)	Yes

## LIST OF NONCONFORMITIES AND CORRECTIVE MEASURES

Operator: <b>Meliomar</b> Season: 2014						
N° of CC	Year	Cat.	Non-conformity (summary)	Corrective Measure	Date of Verification	Status
C 6.1	2014	Minor	<u>Product identity</u> There is no traceability code set up for Mahi Mahi – ( <i>Coryphaena spp.</i> )	Please implement a traceability code for Mahi mahi.	Prior to approval	open
C 7	2014	Minor	<u>Separation</u> Only Artesmar Yellowfin tuna approved products during the	Please implement a system for separation.	Prior to approval	open

Operator: <b>Meliomar</b> Season: 2014						
N° of CC	Year	Cat.	Non-conformity (summary)	Corrective Measure	Date of Verification	Status
			audit. However, Meliomar has plan to process approved Mahi Mahi in the future. There is no internal procedure to separate different certified species in all stages of production (raw material reception, processing lines, storage)			
C 8	2014	Minor	<u>Separation in documentation</u> Only Artesmar approved products. Only Artesmar Yellowfin tuna approved products during the audit. However, Meliomar has plan to process Mahi Mahi in the future. Documentation and record for Mahi Mahi products should be complied for the production in the future.	Please implement a system for separation in documentation.	Prior to approval	open
C 9	2014	Minor	<u>Recall system</u> 9.1 System in place to allow the recall products issued in May 15, 2014 is available. However, it is described for Alpitrade, not Meliomar. 9.2 A documented mock recall test has not been done. It is expected to be done in Sep 2014	Please implement a mock recall system and carry out and document a mock recall test.	Prior to approval	open

## AUTHORISATION

<b>The undersigned operator herewith confirms:</b>	
<input checked="" type="checkbox"/>	that he/she has noted the inspection results as indicated in this inspection report.
<input checked="" type="checkbox"/>	that he/she has noted the proposed corrective measures and documents yet to be submitted and agrees to implement the measures in due time.
<b>Data release</b> This inspection report is a private and confidential document and will not be forwarded to third parties (except competent authorities) without prior permission. To enable the faster and more efficient completion of the certification procedure <b>I grant the following data release:</b>	
<input type="checkbox"/>	to forward, on the request of an importer, the inspection report to <b>Blueyou.</b>
<input type="checkbox"/>	to forward the inspection report to .



<input type="checkbox"/>	I do not want to declare any general data release. I am to be consulted every time my inspection report is to be forwarded to a third party (except competent authorities). I acknowledge that applications may be slightly delayed as a result.
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<b>Confirmation Operator</b> <b>Mr. Christian Schmidradner</b>	<b>Manila 20.08.2014</b>
Name and signature	Date and place

<b>Confirmation Inspector</b> The inspector confirms that the inspection findings as indicated in this report and all facts as described in the operator profile are correct to the best of his/her knowledge.	
<b>Tran Xuan Sang</b>	<b>Manila 20.08.2014</b>
Name and signature	Date and place

- end of document -



## IMO BC G-e Inspection Report Artesmar® Traceability and Chain of Custody (CoC)

*Inspection of basic compliance according to the  
Artesmar® Criteria for Traceability and Chain of Custody (CoC) Version 01.04.2013*

<b>Project :</b>	Alpi Trade		
<b>Report N°:</b>	14-6704-01		
<b>Revised by inspector (Date):</b>			
<b>Evaluated (Date):</b>	14.10.2014	by (name):	Selma Wurst

<b>Instructions for the inspector regarding the use of the report format</b> <i>This inspection report details your evaluation of the operation in respect to the Cycle Shrimp standard.</i>			
<b>Level of compliance:</b>			
<b>OK</b>	completely fulfilled	<b>If AC or NF is ticked, comments</b> (description of non-conformities, evaluation) <b>are needed</b> in practically all cases to assess the non-conformity. Such comments (including the number of the compliance criteria concerned) can be written just after the respective block of compliance criteria → grey fields/boxes may never be ticked (N/A not possible as answer)	
<b>AC</b>	acceptable with conditions / in principle fulfilled but minor shortcomings → comments and conditions <b>compulsory</b>		
<b>NF</b>	not fulfilled → comments and conditions <b>compulsory</b>		
<b>N/A</b>	Not applicable		

### C.0 GENERAL INFORMATION

<b>Operation</b>			<b>Mandator (if different from farm)</b>			
Operation Name, Address, Country Alpi Trade International Lot 20 Block 5A, Sta. Ana II St.San Agustin Village, Moonwalk, Paranaque City, NCR, Phillipines			Mandators Name: Blueyou AG Zürich Zentralstrasse 156 8003 Zürich Switzerland			
Operation Manager:						
<b>INSPECTION DETAILS OPERATION</b>						
Inspection Date: 21.08.2014			<input checked="" type="checkbox"/>	First inspection	<input type="checkbox"/>	Additional inspection
Inspector: Tran Xuan Sang			<input type="checkbox"/>	Full Update Inspection	<input type="checkbox"/>	Announced
			<input type="checkbox"/>	Spot-check	<input type="checkbox"/>	Unannounced
Schemes according to which certification is requested:			Language of inspection (if translation was used, give details)			
<input checked="" type="checkbox"/>	Artesmar CoC	<input type="checkbox"/>	English			
<input type="checkbox"/>	Other (please specify)	<input type="checkbox"/>	The following units (parts of the operation) were visited: Processing plant, cold storage.			
<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	Sample was taken (please give details)		

## INSPECTION DETAILS

Date & time	Activity/ inspected units
21.08.2014 (9:00 – 9:30)	Opening meeting
21.08.2014 (9:30 – 11:00)	Processing visit
21.08.2014 (11:00 – 15:00)	Documentation audit, traceability
21.08.2014 (15:30 – 16:00)	Audit preparation and closing meeting

## ACCESS TO INFORMATION

N°		OK	AC	NF	N/A
0.1	The <b>responsible manager</b> or Artesmar quality coordinator was present during the inspection	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
0.2	The operator had all required <b>documentation</b> available for inspection (incl. original book keeping)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
0.3	The inspector had <b>unrestricted access</b> to all premises and documentation.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	



Remarks: Mr. Christian Schmidradner – General Manager and Member of the Board, Mr. Oscar Almaden – Chief Procurement officer were present during the audit.

## ACTIVITIES

	Y	N	
The operator handles the following qualities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Artesmar certified products
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Products certified under other schemes, which require separation (Naturland Wildfish, MSC fishery etc.)
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Conventional products
Storage activities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Simple storage in the same location
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	External simple storage
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Storage in professional warehouse (storage services as main business for several clients)



Remarks: Yellowfin Tuna (*Thunnus albacare*) and Mahi Mahi (*Coryphaena spp.*) are processed. The assortment list includes Fesh Chilled Tuna (Whole/headless & Gutted/Gilled and Gutted/Loins) for both Yellowfin Tuna and Mahi Mahi. The processing plant has HACCP certified, EU approval code, license to operate issued by FDA in 23<sup>rd</sup> January 2013. According to General Manager, Alptrade stops production since mid of August till unknow. Its facility has been moved to Meliomar processing plant.

## CHANGES SINCE LAST INSPECTION

N°		OK	AC	NF	N/A
0.4	The operator has notified IMO of <b>important changes</b> during the year				<input checked="" type="checkbox"/>
	- New contracted operators (warehouses etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- Change of address/moving premises	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- Changes in suppliers list	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: **First inspection**

## C.1 FORMAL MANAGEMENT SYSTEM (MS)

N°		OK	AC	NF	N/A
1.1	A <b>formal management system</b> is in place.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2	The <b>Quality Management System</b> is complete, implemented, and is adequate to effectively implement the CoC-standard.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.3	<b>Staff responsibilities</b> are defined and all staff are aware of their responsibilities.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 1.1 Alptrade has its business permit and license issued in 18<sup>th</sup> January 2013. Its scope of business is Exporter- Marine Product. A same formal management system of Alptrade is in place.

## C.2 RAW MATERIAL INPUTS

N°		OK	AC	NF	N/A
2.1	There is an up to date <b>list of Artesmar certified suppliers</b> available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.2	There is a <b>system in place</b> which ensures that Artesmar approved seafood only originates from which are part of a defined and confirmed Artesmar Unit of Approval (UoA)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 2.1 Artesmar certified suppliers are:

1. Joey Piol
2. Nestor Benito
3. Jun Kallos
4. Marites Evangelista
5. Michael Gerance
6. Joel Bondoc
7. John Arcamo
8. Leoldo Diaz
9. Efren/Joy Piol

2.2 According to Alptrade procedure, all suppliers above are Artesmar certified. No conventional suppliers are selected.

## C.3 TRACEABILITY

N°		OK	AC	NF	N/A
3.1	The final product is <b>traceable back to the fishery</b> of origin.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.2	The relevant batch unit/lot number shall refer to the <b>fishery UoA</b> (Unit of Approval).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.3	All certified products are <b>labeled</b> with a <b>batch-identification code</b> with which products can be traced back to the specific fishery UoA.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.4	Any product or batch of products sold as certified can be tracked to a <b>sales invoice</b> issued by the operator by <b>proper label or batch-identification</b> used.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 3.1 The final product can be tracked back to the fishery of origin by:

1. Reception batch number: from the "packing record" to "raw material reception form processing facility"  
E.g.: M-AL-2014-326 includes:  
M: Manufacturing batch number  
AL: name of processing plant

2014: year of production  
 326: sequence of client ordered  
 Tuna ID No: from "raw material reception form processing facility" to prescribed fish catch report". includes:  
 Landing site + number of fish receiving  
 Eg: NEO 0713

3.2 Batch unit/lot number refer to the supplier names that are certified.  
 3.3&3.4 Product can be traced to sale invoice. See the Product Flow Calculation.

### C.4 RECORD OF RAW MATERIAL RECEPTION

N°		OK	AC	NF	N.A
4.1	Checklists are used during the <b>reception of raw materials</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.2	The checklists include the <b>suppliers'</b> identification and certification with regards to Artesmar UoA and evidence of the certificate's validity at the time of catch and raw material reception.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 4.1 Checklist used during the reception of raw material including:  
 Tuna ID No.  
 Landing site + number of fish receiving  
 Eg: NEO 0713  
 Weight without gut  
 Grade (appearance, smell)  
 Temperature  
 Histamine check if the temperature is above 5.0 according to the internal procedure

4.2 All raw material received by Meliomar is processed as Artesmar certified product. No conventional products in the processing plant.  
 There are 10 landing sites for fish receiving.

### C.5 RECORD OF PROCESSED MATERIAL OUTPUT

N°		OK	AC	NF	N.A
5.1	<b>Records</b> about all <b>output</b> of processed Artesmar approved seafood available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.2	<b>Reference to raw material input</b> (final products and processing by-products) given.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* Records for output of processed Artesmar is kept since May 2013. The quantity is 187750.73kg. Raw material input is 400252.00kg since since May 2013.

### C.6 SPECIES AND PRODUCT IDENTITY

N°		OK	AC	NF	N/A
6.1	Products are <b>identifiable as Artesmar certified</b> at any time during storage, processing, packing, labelling and handling.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.2	Products are clearly identifiable <b>by scientific species name</b> during all stages of production.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.3	There is a <b>complete assortment list</b> of Artesmar certified products	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 6.1 Product are identified as Artesmar certified as follows:

For Yellowfin tuna (*Thunnus albacares*)  
 YFT-NEO-000000xxx: this is also traceability code.  
 YFT is Yellowfine tuna  
 NEO is the code of landing site  
 0000xxx: number of fish receiving.  
 There is no traceability code set up for Mahi Mahi – (*Coryphaena spp.*)

6.2 Scientific name is used during all stages of production.

6.3 Assortment list of Artesmar certified products is completed for Yellowfin tuna and Mahi Mahi (Loins Raw Material and H&G Raw material (Head and Gut out)).

## C.7 SEPARATION OF APPROVED SEAFOOD

N°		OK	AC	NF	N/A
7.1	Effectively implemented <b>separation measures during processing and manufacturing</b> prevent commingling with or contamination by other non-approved seafood.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.2	<b>Separation during processing</b> is achieved by either (1) physical separation of certified and non-certified production lines or (2) temporal separation of certified and non-certified production runs	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.3	<b>Storage areas</b> (requirements not applicable to operators who ONLY handle Artesmar certified products)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	- separate areas for final storage of the certified products	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	- separate areas for storage before/in between processing	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* Yellowfin tuna and Mahi Mahi approved products are processed.  
 There is no internal procedure to separate different certified species in all stages of production (raw material reception, processing lines, storage).

## C.8 SEPARATION IN DOCUMENTATION

N°		OK	AC	NF	N/A
8.1	<b>Documentation</b> (invoices and other book-keeping documentation) discloses Artesmar approved and non-approved products separately.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* Only Artesmar approved products.

## C.9 RECALL SYSTEM

N°		OK	AC	NF	N/A
9.1	There is a system in place to allow the <b>recall</b> of products.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.2	A documented <b>mock recall test</b> is carried out annually.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



*Remarks:* 9.1 System in place to allow recall of products, issued in May 15<sup>th</sup>, 2014.  
 9.2 A documented mock recall test has not been done. It is expected to be done in Sep 2014.

## C.10 KEEPING OF RECORDS

N°		OK	AC	NF	N.A
10.1	All documents are kept for at least <b>5 years</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: All documents are kept for at least 5 years according to „Data Record and Retention Program issued in May 15<sup>th</sup>, 2014.

## C.11 LABELING OF PRODUCTS

N°		OK	AC	NF	N.A
11.1	Artesmar logo is correctly used on <b>packaging material</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11.2	Artesmar logo is correctly used on <b>shipment/sales documentation</b> .	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Remarks: 11.2. Artesmar logo is used on packaging material.  
11.2 Artesmar logo is used on all documentation.

## PRODUCT FLOW CALCULATIONS

Copy table if doing several calculations

Product: <b>Yellowfin Tuna (<i>T.albacare</i>) – Fresh Headless and Guttet</b>		Processing ratio (if applicable): <b>92.3%</b>	
Raw Material(s): <b>Yellowfin Tuna</b>		Calculation period: <b>10<sup>th</sup> May 2014</b>	
	Calculation step	Document(s)	Result
1.	Production batch number, Tuna IDNo.	Tuna reception from buying stations	567.5 kg
2.	Production batch number, Tuna IDNo.	Material reception & Buying processing facilities	523.5kg (Gilled and Guttet)
3.	Production batch number, Tuna ID No.	Processing form H & G (Grilled and Gutting)	483.26 net weight
4.	quantity, client name, Production batch number	Tuna packaging record	483.26kg net weight
5.	Purchase order, quantity, client name	Packing list	576.18 kg – gross weight – 483.26kg net weight - 483.26kg net weight
6.	Quantity, client name	Invoice	576.18 kg – gross weight - 483.26kg net weight
7.	Quantity, client name	Shipping documentation	576.18 kg – gross weight - 483.26kg net weight
Summary Evaluation of product flow for this product: The product flow is easy to follow. The processing ration is counted by material reception (gilled and gutted) and the final product – net weight.			

### Total goods turnover during the period under assessment

Product (certified/conventional)	Quantity purchased	Quantity processed	Quantity exported	Comments
Artesmar Yellowfin Tuna ( <i>T. albares</i> )	567.5kg	567.5kg	483.26	OK



Remarks: The assessment is done with completed documentation to prove.

## INTEGRITY OF MANUFACTURING PROCESS

	OK	AC	NF	N/A
The <b>book keeping</b> allows a complete follow up of incoming as well as outgoing volumes of certified seafood	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For every product <b>all processing steps</b> are documented. A completed processing flow chart/description is available.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
For every product the <b>processing ratio</b> is known.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The <b>processing documentation</b> allows the product flow to be traced from incoming raw ingredients to final products (processing diary or similar).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A summary of all purchases and sales of certified products for the 12 months prior to the inspection is available.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>



Remarks: The book keeping allows to follow up incoming and outgoing volumes of certified product. The processing steps are documented (see Annexes). The processing ratio is counted by the raw material without gut (Alptrade buys the raw material without gut) and final product.

See the Product Flow calculation above.

Summary of all purchases and sales of certificated products since May 2013 to July 2014 was available.

## SUMMARY OF INSPECTION FINDINGS

### Certification Table

Provider(s) of seafood	Quantity or processing ratio	Processed product, full specification (article N°)	Artesmar® quality
See supplier list	Around 50%	Loins Raw Material	Yes
	80 – 90%	H&G Raw material (Head and Gut)	Yes

## LIST OF NONCONFORMITIES AND CORRECTIVE MEASURES

Operator: <b>Alpi Trade</b> Season: <b>2014</b>						
N° of CC	Year	Cat.	Non-conformity (summary)	Corrective Measure	Date of Verification	Status
C 6.1	2014	Minor	<u>Product identity</u> There is no traceability code set up for Mahi Mahi – ( <i>Coryphaena spp.</i> )	Please implement a traceability code for Mahi mahi into the internal system.	Prior to approval	open
C 7	2014	Minor	<u>Separation</u> There is no internal procedure to separate different certified species in all stages of production (raw material reception, processing lines,	Please implement an internal procedure for separation of different product qualities.	Prior to approval	open



Operator: <b>Alpi Trade</b> Season: <b>2014</b>						
N° of CC	Year	Cat.	Non-conformity (summary)	Corrective Measure	Date of Verification	Status
			storage)			
C 9	2014	Minor	<u>Recall system</u> A documented mock recall test has not been done.	Please conduct and document a mock recall test.	Prior to approval	open

## AUTHORISATION

<b>The undersigned operator herewith confirms:</b>	
<input checked="" type="checkbox"/>	that he/she has noted the inspection results as indicated in this inspection report.
<input checked="" type="checkbox"/>	that he/she has noted the proposed corrective measures and documents yet to be submitted and agrees to implement the measures in due time.
<b>Data release</b> This inspection report is a private and confidential document and will not be forwarded to third parties (except competent authorities) without prior permission. To enable the faster and more efficient completion of the certification procedure <b>I grant the following data release:</b>	
<input type="checkbox"/>	to forward, on the request of an importer, the inspection report to <b>Blueyou.</b>
<input type="checkbox"/>	to forward the inspection report to .
<input type="checkbox"/>	I do not want to declare any general data release. I am to be consulted every time my inspection report is to be forwarded to a third party (except competent authorities). I acknowledge that applications may be slightly delayed as a result.
<b>Confirmation Operator</b> <b>Mr. Christian Schmidradner</b> <span style="float: right;"><b>Manila 21.08.2014</b></span>	
_____	_____
<b>Name and signature</b>	<b>Date and place</b>
<b>Confirmation Inspector</b> The inspector confirms that the inspection findings as indicated in this report and all facts as described in the operator profile are correct to the best of his/her knowledge.	
<b>Tran Xuan Sang</b> <span style="float: right;"><b>Manila 21.08.2014</b></span>	
_____	_____
<b>Name and signature</b>	<b>Date and place</b>

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